

SRD2 / Shareholder identification - Terms of Business and Fee Schedule

BANQUE FÉDÉRATIVE
Crédit  Mutuel

Acceptance of the Terms of Business

Any request for the identification of shareholders received by BFCM implies acceptance of these terms and conditions. These terms and conditions are published on BFCM website, and are communicated upon request.

Terms of Business

Pursuant to Directive (EU) 2017-828 ("Shareholder Rights Directive ", known as "SRD2"), Banque Fédérative du Crédit Mutuel (BFCM) will provide issuers with the identification of the shareholders under custody in its books.

However, if the issuer mandates an intermediary ("Shareholder ID provider"), Banque Fédérative du Crédit Mutuel (BFCM) will respond only if it has the guarantee that this Shareholder ID provider will process the personal data of the shareholder customers in accordance with the requirements of the GDPR (General Data Protection Regulation) :

- Either the issuer has routed its request through a European CSD, meaning that it complies with its rules on personal data protection
- Or that the Shareholder ID provider has previously signed a letter of commitment to respect the RGDP (Confirmation Letter).

The identification request will be valid only after BFCM has received the above-mentioned proofs. This may delay the "initial deadline" indicated in the identification request.

As of 01/01/2022, the fee schedule for this Shareholder Identification Service provided by BFCM is as follows :

| | |
|---|-------|
| Minimum identification fee per request | 200 € |
| Additional fee above 1 000 shareholders identified : | |
| Up to 1 000 shareholders | 0 |
| For each additional 1 000 shareholders | 75 € |

BFCM will invoice each identification response to the agent appointed by the issuer or, failing that, to the issuer. All invoices are payable within 30 days after invoice date.

In case the agent appointed by the issuer or, failing that, the issuer, has defaulted on the invoice, BFCM may reserve the right, without prior notice, not to respond to a subsequent shareholders identification request of this issuer or its agent.

The fee schedule is subject to change without notice.

The French law governs these Terms and Conditions.

In the event of dispute, the Court of Strasbourg shall be solely competent.